

Docket No.: 4752-004

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

:

Kimio ISHIMARU et al.

:

U.S. Patent Application No.:

:

Filed: *herewith*

:

For: RESEARCH-AND-DEVELOPMENT INVESTMENT SECURITIES SELLING  
METHOD, SYSTEM, PROGRAM, AND RECORDING MEDIUM**PRELIMINARY AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Preliminary to examination of the above-referenced application, please amend the application as follows: